# SPORTS TRIBUNAL of New Zealand

# RULES OF THE SPORTS TRIBUNAL OF NEW ZEALAND 2012

#### Please check Sports Tribunal website for any updates to the Rules of the Sports Tribunal

At the date of printing, these Rules of the Sports Tribunal are the Rules as amended on 6 March 2012. Please check the Website of the Sports Tribunal (www.sportstribunal.org.nz) for any amendments since the date of printing.

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### Rules of the Sports Tribunal of New Zealand

#### Introduction

 The Sports Tribunal of New Zealand was established in 2003 by the Board of Sport and Recreation New Zealand under section 8(i) of the Sport and Recreation New Zealand Act 2002 under the name of the Sports Disputes Tribunal of New Zealand. It has been continued under its present name by section 29 of the Sports Anti-Doping Act 2006.

#### **Jurisdiction of Tribunal**

- 2. The Tribunal's functions are described in section 38 of the Act. Section 39 of the Act provides that:
  - (a) "Except as provided in the Act, the Tribunal may determine its own practices and procedures for performing the Tribunal's functions under section 38(a) to (f).
  - (b) However, in determining its own practices and procedures, the Tribunal must ensure that it complies with and implements the rules, to the extent that they are applicable."

The rules referred to in section 39 are the rules made by the Board of Drug Free Sport New Zealand under the Act in accordance with Subpart 3 of the Act. As at 6 March 2012, the relevant rules are the Sports Anti-Doping Rules (2012) (the Doping Rules).

3. These Rules were adopted by a unanimous resolution of the members of the Tribunal dated 2 July 2007 and were amended by a unanimous resolution dated 17 April 2009 and have been further amended by unanimous resolutions dated 31 August 2009, 1 March 2011 and 6 March 2012. Subject to the transitional provisions referred to in rule 5 below, these amended Rules came into force on 6 March 2012. The Rules apply to all proceedings, appeals or matters over which the Tribunal has jurisdiction either under the provisions of section 38 of the Act or otherwise.

#### Structure of these Rules

- 4. These Rules are divided into four parts, all of which provide for the following types of "Proceeding" before the Tribunal:
  - (a) **Part A** contains the general procedural provisions which apply to all matters brought before the Tribunal in accordance with Parts B, C and D.
  - (b) **Part B** applies to anti-doping violation matters and matters brought before the Tribunal in accordance with section 38(a) of the Act and the Doping Rules, and any replacement, additional or supplementary rules made in accordance with the provisions of section 16 of the Act or any statutory amendment to that section.
  - (c) Part C applies to appeals brought in accordance with the provisions of section 38(c) of the Act.
  - (d) **Part D** applies to sports-related disputes over which the Tribunal has jurisdiction either under the provisions of section 38(b) of the Act or otherwise.
- 5. Any proceeding commenced before the Tribunal before 6 March 2012 will be dealt with under the previous rules of the Tribunal as amended at 1 March 2011, unless all the parties to the Proceeding agree that these Rules shall apply. However, this shall not apply to such a Proceeding commenced before the Tribunal before 1 March 2011. If the Proceeding was commenced before 1 March 2011, then it will be dealt with under the Rules of the Tribunal dated 31 August 2009, unless all the parties to the Proceeding agree that these Rules shall apply. A Proceeding will be "commenced" when documents prescribed by the Rules are lodged with the Registrar of the Tribunal.
- 6. These Rules may be amended from time to time by a unanimous resolution of the members of the Tribunal.

#### Part A - General Provisions

#### Interpretation

- 7. The provisions of Part A apply to all Proceedings, instituted before the Tribunal, unless these Rules otherwise provide.
- 8. In these Rules, the words and phrases used shall have the following meanings:
  - "The Act" means the Sports Anti-Doping Act 2006.
  - "*The Code*" means the World Anti-Doping Code first adopted by WADA on 5 March 2003, and any subsequent amendments or replacements. As at 6 March 2012, the Code in force was the Code adopted by the WADA Agency Foundation Board on 17 November 2007 which came into force on 1 January 2009.
  - "Court of Arbitration for Sport" means the court established by the International Olympic Committee to resolve sports related disputes which operates under the Code of Sports Related Arbitration.
  - "The Doping Rules" means rules for the time being in force made by Drug Free Sport under section 16 of the Act. At 6 March 2012, they are the Sports Anti-Doping Rules 2012.
  - "Drug Free Sport" means Drug Free Sport New Zealand, a Crown entity continued by section 6 of the Act.
  - "International Federation" means the governing body which represents a sport internationally.
  - "Interested Party" means a person added to a Proceeding under Rule 13.
  - "NSO" means a National Sports Organisation which is a body that represents members involved in a particular type of sporting event or activity in New Zealand and, if a national organisation does not exist for a sport, includes a local, regional, or other sporting organisation.
  - "NZOC" means the New Zealand Olympic Committee Incorporated.
  - "Person" means an individual or organisation.
  - "Proceeding" means any proceeding, whether an anti-doping violation application, an appeal, or a sports-related dispute brought under Parts B, C or D of the Rules.
  - "Rules" means these rules and "Rule" means one of the rules contained herein.
  - "Selection" includes the nomination and/or selection of a person to a team or squad and "Non-Selection" includes the failure to so nominate and/or select such person.
  - "Selection Criteria" means the criteria upon which persons are nominated and/or selected to teams or squads.
  - "Sport and Recreation New Zealand" means the crown entity established under the Sport and Recreation New Zealand Act 2002. This entity was formerly known as SPARC and is now known as Sport New Zealand (Sport NZ).
  - "Squad" means a group of athletes who have been identified for consideration for selection to a New Zealand representative sports team including reserves and others associated with a squad such as those athletes having accredited status for the purposes of entry to a sporting competition.
  - "Tribunal" means the Sports Tribunal of New Zealand continued under the Act and where appropriate will mean the panel appointed under Rule 10.
  - "Working day" means any day of the week other than:
    - (a) Saturday, Sunday, Good Friday, Easter Monday, Anzac Day, Labour Day, the Sovereign's birthday and Waitangi Day; and
    - (b) a day in the period commencing with the  $25^{th}$  day of December in any year and ending with the  $15^{th}$  day of January in the following year.

"World Anti-Doping Agency" or "WADA" means the body founded by the International Olympic Committee and constituted as a foundation in Lausanne by an instrument of foundation signed on 10 November 1999, and named in that instrument as the Agence Mondiale Antidopage, World Anti-Doping Agency.

#### **Disputes as to Jurisdiction**

9. If there is a dispute between the parties as to whether the Tribunal has jurisdiction to hear and determine a matter, the Tribunal shall determine such dispute in such manner as it thinks fit. The panel determining a jurisdictional challenge may not necessarily be the panel which determines the substantive matter.

#### **Panels**

10. The Chairperson or in his/her absence, a Deputy Chairperson, shall appoint a panel of Tribunal members to hear and determine all matters brought before the Tribunal. A panel will normally comprise three members but, at the discretion of the Chairperson, or a Deputy Chairperson, may comprise more or fewer than three members.

#### **Preliminary Matters and Pre-Hearing Conferences**

- 11. (a) The Chairperson or a Deputy Chairperson may hear and determine any preliminary matter which might arise.
  - (b) The Chairperson or a Deputy Chairperson or the panel will, where appropriate, hold a pre-hearing conference prior to the substantive hearing of any Proceeding. Such conference will usually be by telephone, and give such directions as considered appropriate for the just, speedy and inexpensive determination of the Proceeding.

#### **Independent Experts and Counsel**

- 12. (a) The Tribunal may, in any Proceeding, either on its own motion or on the application of any party, appoint a person who is independent of the parties with expertise in the subject matter of the dispute to assist and advise the Tribunal. Such independent expert shall be entitled to be present at the hearing and have such powers and duties as are specified in the order of appointment but shall not be present during any deliberation of the Tribunal.
  - (b) The Tribunal may, if it deems it necessary, appoint counsel to assist the Tribunal in any Proceeding. Such counsel may be present at the hearing and at other times advise the Tribunal on matters of law, procedure or evidence in that Proceeding or matter. The counsel so appointed shall not be present during any deliberations of the Tribunal.
  - (c) The Tribunal shall be responsible for any fees and expenses incurred by a person appointed under either of the above sub-rules unless it otherwise directs.

#### **Interested Party**

- 13. The Tribunal may, on the application of the party or on its own motion or on the application of a person whom the Tribunal determines has a sufficient interest in the matter, add a further person or persons as parties to a Proceeding or allow a person or persons to make submissions or provide evidence in any Proceeding. If an Interested Party order is made, notice of the order will be given to such party (Form 7).
- 14. If a person whom the Tribunal has determined may become an interested person, elects to become an Interested Party, that person will, within seven working days of receiving notice of the order (Form 7), give notice to the Tribunal (Form 8), and shall thereafter be bound by these Rules as if that person was a party to the Proceeding.

#### **Power to Inquire and Direct**

- 15. (a) The Tribunal shall have the power to inquire into the subject-matter of any Proceeding in accordance with these Rules.
  - (b) For the purposes of any inquiry, the Tribunal or any person authorised in writing by it may:
    - (i) inspect and examine any papers, documents, records or items;
    - (ii) require any party or person bound by these Rules, and request any other person, to produce for examination any papers, documents, records or things in that person's possession or under that person's control and to allow copies of or extracts from any such papers, documents or records to be made;
    - (iii) require any party or person bound by these Rules, and request any other person, to furnish, in a form approved by or acceptable to it, any information or particulars that may be required by it, and any copies of or extracts from any such papers, documents or records; and
    - (iv) in respect of any Proceeding, hear evidence either of its own motion or upon application by any party, whether or not such evidence is new to the dispute.
  - (c) The Tribunal may, if it thinks fit, require that any written information or particulars or any copies or extracts furnished under these Rules be verified by affidavit, statutory declaration or otherwise as the Tribunal may require.
  - (d) The Tribunal may make such inferences and draw such conclusions as it considers appropriate from the failure by any person or party to comply with any order made under Rule 15(b) or (c).

#### **Proof of Facts**

16. Facts related to any Proceeding may be established by any reliable means, including admissions. In applications under Part B, the rules of proof set out in the Doping Rules shall apply.

#### **Natural Justice**

17. The Tribunal shall in all matters observe the principles of natural justice.

#### **Time Rules**

- 18. (a) Where these Rules fix a day within a time period for doing any act or taking any step in a Proceeding, then such act or step shall be taken by 5.00 pm (New Zealand time) on that day, unless determined otherwise by the Chairperson or a Deputy Chairperson before or after the time stipulated.
  - (b) The Chairperson or a Deputy Chairperson, in the discretion of that person, may extend or, in urgent situations, abridge, the time period for doing any act or taking any Proceeding or any step in the Proceeding, on such terms (if any) as that person thinks just. This discretion may be exercised even if the application for an extension is made after the expiration of the time appointed or fixed.
  - (c) The power to extend or abridge time limits shall not allow the Chairperson or Deputy Chairperson to alter a time period specified in the constitution or rules of an NSO or the NZOC, unless there is a provision in the constitution or rules permitting the Tribunal to grant such amendment or unless the parties agree to such an extension.

#### **Filing Fees**

19. The Tribunal may, by resolution, set filing fees for any Proceeding, and may, at its discretion, waive all or part of any fee in cases of hardship.

#### Registry

- 20. (a) The registry (office) of the Tribunal shall be situated at the offices of Sport and Recreation New Zealand or at such other place as determined from time to time.
  - (b) All documents which are to be filed with the Tribunal shall be sent to the Registrar of the Tribunal at the following address:

The Registrar Sports Tribunal PO Box 3338 WELLINGTON

Phone: 0800 55 66 80 Facsimile: 0800 55 66 81

Email: info@sportstribunal.org.nz Website: www.sportstribunal.org.nz

#### Language

- 21. (a) Subject to Rule 21(b), the language of the Tribunal shall be English and every aspect of the Proceeding, including written and verbal communications, shall be in English.
  - (b) (i) The Tribunal shall take into account the principles of the Treaty of Waitangi (Te Tiriti o Waitangi).
    - (ii) Subject to rule 21(b)(iii), any person, including any party and any person giving evidence before the Tribunal, wishing to do so in any Proceeding before the Tribunal may speak or communicate in Maori or New Zealand Sign Language.
    - (iii) Any person wishing to speak or communicate in Maori or New Zealand Sign Language in any Proceeding shall give not less than 48 hours written notice to the Tribunal of that fact, and must obtain a translator to provide a translation of the Maori or New Zealand Sign Language used in any such Proceeding. The cost of the translator shall usually be met by the Tribunal, subject to the Tribunal, in its discretion, determining in any case that the cost shall be met in whole or in part by the person requiring the translator or any other party to the Proceeding.
  - (c) (i) On application in writing by any party, or any person giving evidence in any Proceeding before the Tribunal, who wishes to speak in a language other than English, Maori or New Zealand Sign Language, the Tribunal may hear evidence from such party or person through a translator.

    Such application shall be made not less than 48 hours before the person is due to give evidence.
    - (ii) If the Tribunal permits a translator under Rule 21(c)(i), the party on whose behalf the evidence is to be given in this manner, shall arrange and meet any costs associated with the translator, unless the Tribunal in its discretion orders otherwise.

#### **Service**

- 22. (a) Every document which is filed with the Tribunal shall also be served on all other parties in the Proceeding (including Interested Parties) at the address for service notified by that party in its documents in the Proceedings. If an Interested Party has not notified such an address, service shall be at the known address of that party.
  - (b) Documents to be filed with the Tribunal, or served on another party, shall be sent by post, courier, email, or facsimile or delivered in person.
  - (c) Where a document is served on a party or person under these Rules, the document shall be deemed to have been served as follows:
    - (i) if delivered in person between the hours of 9.00am and 5.00pm, then on that day, and if such delivery is outside of these hours, then on the next working day;
    - (ii) if posted, then on the earlier of:
      - the 5th working day after the day on which it was posted; or
      - the day on which it was received;
    - (iii) if transmitted by facsimile number or by email, then on the day on which it was transmitted, unless such transmission commenced after 5.00pm, in which case it shall be the next working day.

#### Representation

23. The parties, including any Interested Party, may be represented or assisted in the Proceeding by a person of their choice. The name, address, telephone and facsimile numbers of the person representing a party shall be communicated to the Registrar in advance of any pre-hearing conference or hearing in which the representative is to act for the party.

#### Minors

- 24. (a) A person who has not attained the age of 18 years, (referred to in these Rules as a "Minor") may be a party to, and shall be bound by a Proceeding before the Tribunal as if the Minor were a person of full age and capacity.
  - (b) Where a Minor is a party to any Proceeding, the Tribunal may, if the Minor agrees and it considers it would be in the interests of the Minor to do so, at any time appoint a person to represent the Minor. The Minor shall be responsible for any costs incurred as a result of such representation.

#### Privacy

- 25. (a) Proceedings in anti-doping violation applications under Part B are private and confidential, save where the parties agree otherwise or the Doping Rules otherwise provide. The decision will be reported in accordance with the provisions of the Doping Rules.
  - (b) A proceeding brought under either Part C or Part D will usually be private and confidential. The Tribunal shall have an absolute discretion to hold a hearing in public either if it considers that there are exceptional circumstances to make a public hearing appropriate or, if the parties so agree. Unless the Tribunal in its discretion orders otherwise, a decision in such a Proceeding will be published.

#### **Applicable Law**

26. The Tribunal shall hear and determine all Proceedings according to the laws of New Zealand.

#### **Decisions**

- 27. (a) A decision of the Tribunal in any Proceeding shall be made by a majority decision of the panel members hearing the Proceeding.
  - (b) The Tribunal may in its discretion give an oral decision but in every Proceeding the Tribunal will as soon as expedient issue a written decision with reasons.
  - (c) In addition to its decision the Tribunal may make non-binding recommendations to an NSO or other relevant party arising out of any Proceeding.
  - (d) Any decision of the Tribunal made under these Rules shall be enforceable, by any party to the Proceeding in which the order is made, in the courts of New Zealand under the law of contract.

#### **Appeals**

- 28. (a) The decision of the Tribunal shall be final and binding and shall not be questioned in any Court of law otherwise than in accordance with sub-rule (b) hereof.
  - (b) A party may appeal a decision of the Tribunal to the Court of Arbitration for Sport if the rules or policies of the relevant International Federation or NSO so provide.
  - (c) An appeal to the Court of Arbitration for Sport must be made within the time specified in the relevant rules of the International Federation or NSO and if there is no such time limit within 15 working days of the date of the written decision of the Tribunal.
  - (d) An appeal will not operate as a stay of proceeding of the decision to which the appeal relates unless the Tribunal or the Court of Arbitration for Sport so orders.

#### Costs

- 29. (a) The Tribunal may order any party to a Proceeding to pay to any other party and/or to the Tribunal such costs and expenses (including filing fees and witnesses' expenses) as the Tribunal thinks fit.
  - (b) Unless the Tribunal directs otherwise any costs awarded shall be paid within 21 working days of the Tribunal's decision as to costs. The party in whose favour the costs have been ordered, or the Tribunal (as the case may be) may enforce payment of the costs as a contractual debt in the District Court as provided in the Act.

#### General

- 30. (a) Subject to these Rules and the provisions of the Act and the Doping Rules, the Tribunal may regulate its procedures in such a manner as it thinks fit and prescribe or approve forms for the purposes of any Proceeding.
  - (b) These Rules shall be construed as to secure the just, speedy, and inexpensive determination of any Proceeding.
  - (c) Where any matter is not otherwise provided for in these Rules, the Tribunal shall have jurisdiction to make such orders or give such directions as it considers to be consistent with the just, speedy, and inexpensive determination of the Proceeding.

#### Mediation

- 31. (a) The Tribunal may, if so requested by the parties, or on its own volition, order that the substantive issue in a Proceeding or any sub-issue be mediated either before a Tribunal member or an independent person. If a Tribunal member is the mediator, that member may not sit on the panel which hears the substantive matter unless all parties so agree.
  - (b) The Tribunal may order that the parties, or any of them, meet the cost of an independent mediator if the mediation proceeds with an independent mediator with the approval of all parties.

#### **Correction of Accidental Slip or Omission**

- 32. (a) If any decision of the Tribunal contains a clerical mistake or an error arising from any accidental slip or omission, the decision may be corrected by the Tribunal.
  - (b) The correction may be made by the Tribunal, as the case may be, of its own volition or as a result of an interlocutory application made for that purpose.

#### Rehearing

- 33. (a) The Tribunal may order a rehearing if, in its opinion, there has been a miscarriage of justice that justifies a rehearing.
  - (b) An order under subclause (a) may be made on such terms as the Tribunal thinks fit.
  - (c) Without limiting the circumstances in which the Tribunal may order a rehearing, the Tribunal may hold that there has been a miscarriage of justice if:
    - (i) the decision or portion of it has been obtained by any unfair or improper practice of the successful party to the prejudice of the opposite party; or
    - (ii) material evidence has been discovered since the hearing which could not reasonably have been foreseen or known before the hearing; or
    - (iii) any witness has been guilty of such misconduct as to affect the result of the hearing.
  - (d) A rehearing may be ordered on any question in the proceeding, whatever be the grounds on which a rehearing is applied for, without interfering with the decision upon any other question.
  - (e) An application for a rehearing shall be made by way of written application filed within 21 days from the date of delivery of the decision. The application shall state the circumstances alleged to have resulted in a miscarriage of justice and no other circumstances will be considered by the Tribunal. The application shall not operate as a stay of proceeding unless the Tribunal so orders.

## Part B – Anti-Doping Violation Proceedings

#### **Application of this Part**

- 34. This Part of the Rules shall apply to the following Proceedings:
  - (a) any Proceeding brought before the Tribunal pursuant to the Doping Rules;
  - (b) any other anti-doping violation brought before the Tribunal under the provisions of the Act by Drug Free Sport or an NSO in accordance with a valid anti-doping policy contained in its rules or constitution.

#### **Applicants**

35. An application for an anti-doping violation Proceeding may be made to the Tribunal by either Drug Free Sport or an NSO or such other organisation as the Tribunal determines is entitled to bring such an application in accordance with the provisions of the Code or the Doping Rules.

#### **Procedure**

- 36. (a) The applicant shall complete and file with the Tribunal, the Tribunal's prescribed application form (Form 1 or Form 10) and pay the prescribed filing fee (if any). See Rule 40 and Form 6 in relation to applications for provisional suspension.
  - (b) In accordance with the provisions of Rule 22, the applicant shall personally serve a copy of the application on the respondent against whom the allegations are made and shall provide to the Tribunal written confirmation of both the service of the application on the respondent and the date of service.
  - (c) If the applicant is unable to personally serve the respondent, the applicant may apply to the Tribunal for an order for substituted service, indicating in the application the proposed manner of substituted service which the applicant believes will bring notice of the application to the attention of the respondent.
  - (d) The respondent, other than in an appeal against a decision denying a therapeutic use exemption, has the right to defend the application or to admit the violation but request the right to participate in the hearing to make submissions as to the appropriate sanction. If the respondent wishes to take either of these courses, he or she will file with the Tribunal, and serve on the applicant the Tribunal's current statement of defence or participation form (Form 2) within a period of seven working days from the date of service of the notice of application. If a respondent does not file a notice of defence/participation within the seven working day period, or such extended period as may be ordered to by the Tribunal, the athlete will be deemed to have waived his or her right to participate in a hearing, although the Tribunal may, in its discretion, subsequently give the athlete leave to participate.
  - (e) In the case of an athlete bringing an appeal against a decision denying a therapeutic use exemption (Form 10), the respondent shall have seven working days to file its statement of defence (Form 11).
  - (f) In an anti-doping violation application brought by Drug Free Sport, the NSO of the athlete will be joined as an Interested Party and Drug Free Sport will send to the head office of the NSO a copy of the application and all relevant documents.

#### **Pre-Hearing Conference**

37. The Tribunal will normally convene a pre-hearing conference which will usually be held within five working days of the respondent filing the notice of defence/participation. The conference will normally be conducted by telephone, during which the Tribunal will fix the date of hearing, determine the type of hearing (which, in appropriate cases, may be by teleconference), give any procedural or evidential directions that may be appropriate, and make such other orders as are necessary to facilitate the prompt and just expedition of the matter. At the discretion of the Tribunal and with the consent of the parties, a pre-hearing conference may become the hearing.

#### Hearing

- 38. (a) At the hearing, both parties shall be entitled to legal representation at their own cost. The Tribunal may receive evidence in accordance with section 40 of the Act and the provisions of the Doping Rules and may order costs in accordance with section 47 of the Act. If the Doping Rules apply to the application, the appropriate provisions from those rules, relating to the burdens and standard of proof and the methods of establishing facts and presumptions will apply. If the applicant does not rely on the Doping Rules, the burdens and standards of proof and methods of establishing facts and presumptions will be as set out in the appropriate constitution, rules, regulations or anti-doping policy of the NSO to which the athlete belongs. If these matters are not covered in the appropriate documents of the NSO, the provisions of the Doping Rules will apply.
  - (b) If the Tribunal determines that an anti-doping violation has been committed, the Tribunal may impose such sanctions as are permitted by the Doping Rules, unless the rules or constitution of the relevant NSO provide other sanctions, in which case the latter will be applied.

#### **Time of Proceeding**

39. The Doping Rules provide that an anti-doping violation Proceeding must be completed in a timely manner and shall normally be completed within three months of the notification of the violation. The Tribunal will take all necessary steps to expedite the Proceeding, providing such expedition conforms to the principles of natural justice, and will endeavour to make and issue its decision within seven working days of the conclusion of a hearing.

#### **Provisional Suspensions**

- 40. (a) When the Tribunal receives a reference under the Doping Rules on the question of whether to impose a Provisional Suspension, the Tribunal will consider such question urgently in accordance with the provisions of Rule 12 of the Doping Rules (or any subsequent amended or replacement Rule to the said Rule 12).
  - (b) Applications to consider provisional suspensions by an NSO not brought under the provisions of the Doping Rules will be considered under the rules of the applicant NSO with the Tribunal determining the procedure to be followed to resolve the issue with all due expedition.
  - (c) The application for consideration of Provisional Suspension will be made on Form 6. A copy of the application will be served on Drug Free Sport if it is not the applicant.

### Part C – Appeal Proceedings

#### 41. This Part C applies to:

- (a) appeals brought against a decision of an NSO or the NZOC (section 38(c) of the Act), including selection appeals; and
- (b) sports-related disputes brought within the provisions of section 38(b) of the Act, if the dispute is in substance an appeal from a decision of an NSO or the NZOC.

#### **Grounds of Appeal**

- 42. The grounds for an appeal shall be those set out in the constitution, rules or regulations of the NSO or the NZOC (if the appeal is brought under section 38(c) of the Act) or as set out in the agreement between the parties (if the appeal is brought under the provisions of section 38(b) of the Act). In the absence of such grounds, the grounds shall only be one or more of the following grounds:
  - (a) natural justice was denied;
  - (b) the decision-maker or decision-making body acted outside of its powers and/or jurisdiction (i.e. acted ultra vires);
  - (c) substantial new evidence became available after the decision, which is being appealed, was made;
  - (d) in the case of a decision relating to misconduct, the penalty was either excessive or inappropriate;
  - (e) in respect of a decision relating to the Selection or Non-Selection of the appellant as a New Zealand representative in a sport or to a New Zealand representative team or squad, the following additional grounds apply:
    - (i) the applicable Selection Criteria have not been properly followed and/or implemented;
    - (ii) the person seeking selection was not afforded a reasonable opportunity by the NSO to satisfy the applicable Selection Criteria;
    - (iii) the selection decision was affected by actual bias;
    - (iv) there was no material on which the selection decision could reasonably be based.

#### **Procedure**

- 43. (a) The appellant shall complete and file with the Tribunal, the Tribunal's current notice of appeal (Form 3) and pay such filing fee (if any) for the time being prescribed by the Tribunal. Such notice of appeal shall be filed with the Tribunal within the time limit set out in the applicable constitution, rules or regulations of the NSO or the NZOC and in the absence of such time limits, within 28 days of the appellant being notified of the decision against which the appeal is made. A copy of the notice of appeal will be served on the NSO or the NZOC (as the case may be) and the appellant will provide the Tribunal with proof of service.
  - (b) The appellant may, in the notice of appeal, or by separate letter, apply to have the decision appealed against or part of it suspended pending the hearing of the appeal (the suspension application). The Tribunal will urgently consider the suspension application and may adopt such procedure as it considers appropriate, which may not necessarily include holding a hearing. The Tribunal shall have an unfettered discretion as to whether it allows the suspension appeal.

- (c) Within 10 working days of filing the notice of appeal, the appellant will file and serve on the respondent, an appeal brief in the form set out in Form 4 in the prescribed forms.
- (d) Within 14 working days of receiving the appeal brief, the respondent shall file and serve on the appellant, a statement of defence in the prescribed form (Form 5). If the respondent fails to file such statement of defence within the prescribed time, or such extended time as given by the Tribunal, the Tribunal may proceed with the appeal and issue its decision.

#### **Pre-Hearing Conference**

- 44. As soon as practicable after the filing of the statement of defence the Tribunal will convene a pre-hearing conference to make all necessary and appropriate directions to bring the matter to an early hearing. There may be more than one pre-hearing conference. Matters to be considered at the first pre-hearing conference will include:
  - (a) whether an Interested Party is to be invited to join the appeal, and if so, the steps to be taken to give such Interested Party the right to join and the procedure to be followed thereafter;
  - (b) whether there are any challenges to the jurisdiction of the Tribunal.

#### **Hearing**

45. Subject to the rules of natural justice, a hearing will be held as soon as practicable after the parties have filed the appropriate documents as provided for in these Rules. The Tribunal shall determine the procedure at the hearing. In appropriate cases and by agreement of the parties and the Tribunal, the appeal may be determined by the Tribunal by reference only to the documents filed, without hearing from the parties or others in person.

#### **Evidence**

- 46. (a) The Tribunal shall have a discretion to admit new evidence and may re hear the matter. Unless directed otherwise, the parties will be required to place before the Tribunal, the transcript (if available) of the hearing at which the decision appealed from was made, copies of any witness statements produced to that hearing and all other relevant documents which were before the body which made the decision appealed from.
  - (b) The provisions of section 40 of the Act relating to evidence shall apply to an appeal unless the rules of the respondent provide otherwise.
  - (c) The onus of proof shall be upon the appellant.

#### **Tribunal Decision**

- 47. (a) The decision of the Tribunal shall be consistent with the applicable constitution, rules or regulations of the NSO or the NZOC, if the latter are relevant. Unless such constitution, rules or regulations expressly or impliedly provide otherwise, the Tribunal may make any decision that the body appealed from was capable of making on the original application or may refer the matter back to that body for further consideration, with such directions (if any) which the Tribunal determines to give.
  - (b) If the appeal is allowed, the Tribunal in addition to making the orders provided by Rule 47(a) above, may make such orders as it considers appropriate to give effect to its decision, including imposing:
    - (i) a reprimand or warning;
    - (ii) suspension from such activities of the NSO and/or the NZOC including events, competitions, tournaments, meetings, and functions on such terms and for such period as it thinks fit;

- (iii) suspension of the person's membership of the NSO and/or its members;
- (iv) fines, imposed in such manner and in such amount as the Tribunal thinks fit;
- (v) such other penalty as the Tribunal considers commensurate with the offence; and/or
- (vi) such combination of any of the above penalties as the Tribunal thinks fit.

#### **Recommendation to Sport NZ**

48. The Tribunal whether or not it allows or dismisses the appeal, may recommend to Sport and Recreation New Zealand (Sport NZ), and/or NZOC that funding and/or services made available to the appellant (or any person involved in the appeal other than merely as a witness) and/or the NSO be suspended or withdrawn, and/or recommend that changes be made to any applicable rule, policy, or procedure of the relevant NSO.

#### **Selection Appeal**

- 49. Rule 47 shall not apply to an appeal against Selection or Non-Selection of a national team or squad. If the appellant succeeds on such an appeal, the Tribunal may:
  - (a) as a matter of usual practice, but in the discretion of the Tribunal, refer the question of selection back to the NSO and/or the NZOC for determination in accordance with the relevant selection criteria;
  - (b) allow the appeal and conclusively determine the issue of selection of the appellant if:
    - (i) it would be impracticable to refer the question of selection back to the NSO and/or the NZOC in the time available in which entries to the relevant event or competition are to be submitted; or
    - (ii) there has been such disregard of the selection criteria by or on behalf of the NSO and/or the NZOC that a reasonable person could reasonably conclude that it is unlikely that the selection criteria will be properly followed and/or implemented.

## Part D – Sports-Related Disputes

#### **Application**

50. This Part D applies to matters which come before the Tribunal in the manner referred to in section 38(b) of the Act.

#### **Procedure**

51. An application under this Part shall be commenced by the parties filing on the Tribunal's current prescribed application form (Form 9) and incorporating in or attaching to that application form a signed agreement to refer the matter to the Tribunal. The parties will also pay such filing fee as the Tribunal may from time to time determine.

#### **Pre-Hearing Conference**

- 52. As soon as practicable after the filing of the application, the Tribunal will (if necessary) convene a pre-hearing conference at which all necessary directions will be given to bring the matter to a prompt hearing. Those directions will include:
  - (a) directions as to the statements of position to be filed by the parties and the sequence and timing of such statements;
  - (b) the filing of statements of issues and the relief or directions sought;
  - (c) the manner in which evidence (if any) and documents are to be brought before the Tribunal;
  - (d) the date and place of hearing; and
  - (e) such other directions as may be necessary to bring the matter to an expeditious hearing.

#### **Determination**

53. The Tribunal will determine the sports related dispute either with or without a hearing but in accordance with the principles of natural justice. It will issue its decision as soon as reasonably practicable and may in its discretion award costs to one or more parties.

## **Appendices of Forms**

Form 1.	Application for Anti-Doping Rule Violation Proceedings
Form 2.	Notice of Defence in Anti-Doping Violation Proceeding or Notice of Wish to Participate on Sanctions
Form 3.	Notice of Appeal (Including Selection Appeals)
Form 4.	Appeal Brief
Form 5.	Statement of Defence to Appeal
Form 6.	Application for Provisional Suspension in Anti-Doping Rule Violation Matter
Form 7.	Notice to Interested Party
Form 8.	Notice from Interested Party
Form 9.	Application for Resolution of Sports Related Dispute
Form 10.	Notice of Appeal from Decision Denying Therapeutic Use Exemption (TUE)
Form 11.	Statement of Defence to Appeal from Decision Denying Therapeutic Use Exemption (TUE)

#### FORM 1

2.

3.

Telephone (Wk)

Facsimile (Wk)

Email

## **Application for Anti-Doping Rule Violation Proceedings**

1.	Detail	S O	f the	App	licant
----	--------	-----	-------	-----	--------

	• •						
Name	TITLE	FIRST NAME		SURNAM	ИΕ		
Postal Address	STREET			SUBURE	3		
	CITY						
				POSTCO	DE		
Contact Person for	this application	n					
Name	TITLE	FIRST NAME		SURNAM	ИΕ		
Position							
Telephone (Wk)			Mobile				
Facsimile (Wk)							
Email							
ommitted an Anti- Iame	-Doping Rule \	/iolation ("the Athl	ete").	SURNA	ME	7	
Postal Address	STREET	TINOTIVAME		SUBURE		]	
ostal Addless	CITY					]	
				POSTCO	DE		
elephone (Wk)			(Hm)		1 1	1 1 1	
Facsimile (Wk)			Mobile				
Email			WOONC				
Details of Repr	ocentative.						
Please insert the de Applicant in these	etails of your l	egal representative	or other p	erson, i	f any, w	ho will be	repre
Name of Represent	tative						
Firm/Company							
Postal Address	STREET				SUBURB		
	CITY						
					POSTCODE		
Contact Person for	this application	on			POSTCODE		
Contact Person for Name	this application	FIRST NAME		SURNAN			

Mobile

GIVE	details of the basis of the Tribunal's jurisdiction.
<b>AII6</b> (a)	eged Anti-Doping Violation Give details of the alleged anti-doping violation.
(a)	Give details of the aneged anti-doping violation.
(b)	Give details of the article or rule in the WADA Code, Sports Anti-Doping Rules or other relevonstitutional or regulatory provision which the Athlete has allegedly infringed.
(-)	
(c)	Summarise the evidence which will be produced in support of the alleged violation (the sur will not preclude further evidence being brought in accordance with any direction given by the Tribunal). If the basis of the allegation is a positive test result provide a copy of the doct evidencing that result.
Dro	visional Suspension
(a)	Has the NSO (if the applicant is not the NSO) had its attention drawn to the provisional
,ω/	suspensions provisions of the Doping Rules?  Yes  N
(b)	Is the Applicant applying for the Athlete to be provisionally suspended? Yes N

	nation abou		•	_						
	Do you know if which the alleg					ates in Yes		orts, a No	part fro	m the sp
If so p	lease provide o	details of t	hose sport	s below:						
							mitmont	over t		
(b) I	f known, pleas	e provide o	details of t	he athlet	te's sportir	ng com	IIIItiiieiit	s over t	ne next	6 month
(b) I	f known, pleas	e provide o	details of t	he athle	te's sportir	ng com		S OVEL I	ne next	6 month
(b) I	f known, pleas	e provide (	details of t	he athlet	te's sportir	ng com		S Over t	ne next	6 month
(b) I	f known, pleas	e provide (	details of t	he athlet	te's sportin	ng com		5 Over t	ne next	6 month
(b) I	f known, pleas	e provide (	details of t	he athlei	te's sportin	ng com	munena	S OVER L	ne next	6 month
(b) I	f known, pleas	e provide (	details of t	he athlet	te's sportin	ng com	munend	s over t	ne next	6 month
	f known, pleas									
(c) F	Please provide									
(c) F	Please provide a	any other i	nformation		nk may be					
(c) F Autho	Please provide a	any other i	nformation	you thin	nk may be	relevai	nt about	the ath	ılete's s	porting a
(c) F  Author  Name by sign	Please provide a	any other i	nformation	you thin	nk may be	relevai	nt about	the ath	ılete's s	porting a

#### **Filing and Service Instructions**

- 1. This application should be signed and filed with the Registrar of the Sports Tribunal at the address given below.
- 2. The application should be accompanied by the prescribed filing fee (if any) together with a copy of the applicable constitution, rules or policies which give the Applicant the basis for bringing the allegations.
- 3. Where possible, a summary of the evidence to be brought in support of the allegations and a copy of documents evidencing a positive test return should be attached.
- 4. The Applicant is to personally serve a copy of this application and attachments on the Athlete as soon as practical after the original has been filed with the Tribunal and will forthwith after service forward to the Tribunal Notice of Service on the form attached.

The Registrar Sports Tribunal PO Box 3338 WELLINGTON Ph: 0800 55 66 80 Email: info@sportstribunal.org.nz Fax: 0800 55 66 81 Web: www.sportstribunal.org.nz

## **Notice of Service**

(to be attached to Form 1)

, TITLE	FIRST NAME	S	URNAME			
hereby cert	ify that on the	DAY	day of	MONTH	20	YEAR
-	served upon the Ath documents.	llete named in t	he attached app	olication a true co	py of the Ap	oplication and
I have initia	ereto is a copy of the alled each page of th ne documents so serv	e Application fo				
Dated the	DAY	day of	MONTH	20	YEAR	
Signed						

## Notice to be Endorsed on Application Served on Athlete

То		
Address	STREET	SUBURB
	CITY	
		POSTCODE

This is a copy of the application filed by the Applicant with the Sports Tribunal.

The Tribunal has an obligation to promptly hear this application. Your rights are:

- (a) To defend the application.
- (b) To admit the violation but advise you wish to participate in a hearing to make submissions on any penalty which may be imposed; or
- (c) to admit the violation and advise you do not wish to participate in a hearing and understand that a penalty will be determined by the Tribunal based on the application.

You must by 5.00pm on a date not later than seven working days from the service of this notice on you complete, sign and return the attached Form 2 if you wish either to defend the Application or to admit the violation but to participate in the hearing to consider the penalty. If you do not take such action the Tribunal has the right to hear and determine the application in your absence and impose a penalty on you.

If you need more time to complete and return the attached form, you should immediately apply to the Registrar for an extension of time.

A copy of the relevant Rules of the Tribunal is enclosed for your information. If you have questions regarding this matter, please contact the Registrar on 0800 55 66 80 or email on info@sportstribunal.org.nz.

#### FORM 2

## Notice of Defence in Anti-Doping Violation Proceeding/ or Notice of Wish to Participate on Sanctions

	TITLE FIRST NAME	SURNAME	(name of Defendant)
	STREET	SUBURB	(address)
	CITY		
		POSTCODE	
avi	ng received a copy of the applicat	ion and supporting o	documents advise: (please tick the appropriate box)
7	I wish to defend the Application		documents advise. (piease tiek the appropriate box)
		o participate in the F	Proceeding by making submissions on any sanction o
		· · · · · ·	in the hearing and acknowledge that the Tribunal ma and that I will be notified of any such penalty at the
	<b>Grounds for Defence</b> (to b	e completed if the fi	rst box above has been ticked)
			olication are: (summarise the grounds)
	A summary of the evidence wh	ich I will submit in s	upport of my defence is:

f there is a challenge to the jurisdiction of the Tribunal, please specify the grounds of the challeng	e:
Porticipation to make Submissions on Constian ( )	
Participation to make Submissions on Sanction (complete if second box ticked)	
My submissions as to penalty are: (submissions can be elaborated on at hearing)	
A summary of the evidence which I propose to adduce in support of my submissions is:	
Sporting Activities Information	
a) Do you play or otherwise participate in other sports, apart from the sport to which the alleged doping violation applies?  Yes No	ant
f so please provide details of those sports below:	
· · ·	

(b)	Please provide details	of the a	athlete's sporting commi	tments over the next	6 months below:
(c)	Please provide any oth	ner info	rmation you think may b	e relevant about your	sporting activities:
Sigr	ned			Date	DAY / MONTH / YEAR
		Ins	structions for Filing	Defence	
days		of the N	ned and returned to the Foundation of Application. A country details are:		
Spo PO	Registrar rts Tribunal Box 3338 LLINGTON	Ph: Fax:	0800 55 66 80 0800 55 66 81	Email: info@spor Web: www.sport	

## **Notice of Appeal**

(including selection appeals)

Name	TITLE	FIRST NAME	SURNAME	
Contact Person (i	f Organisation)			
Name	TITLE	FIRST NAME	SURNAME	
Position				
Telephone (Wk)		Mo	bile	1 1
Facsimile (Wk)				
Email				
Details of Res	pondent (eithe	er a National Sports Or	ganisation or the NZ Olym	ipic Com
Name	TITLE	FIRST NAME	SURNAME	
Postal Address	STREET		SUBURB	
	CITY			
			POSTCODE	
Telephone (Wk)		(Hr	m)	
Facsimile (Wk)		Mo	bile	
Email				
<b>Details of Rep</b> Please insert the Applicant in thes	details of your le e Proceedings.	gal representative or ot	ther person, if any, who wil	l be repre
Name of Represe	ntative			
Name of Represe Firm/Company	ntative			
	street		SUBURB	
Firm/Company			SUBURB	
Firm/Company Postal Address	STREET	n	SUBURB	
Firm/Company Postal Address Contact Person for	STREET	n		
Firm/Company Postal Address  Contact Person for Name	STREET	n FIRST NAME		
Firm/Company Postal Address  Contact Person for Name Position	STREET  CITY  or this applicatio	FIRST NAME	POSTCODE	
Firm/Company Postal Address  Contact Person for Name Position Telephone (Wk)	STREET  CITY  or this applicatio	FIRST NAME	POSTCODE	
Firm/Company Postal Address  Contact Person for Name Position	STREET  CITY  or this applicatio	FIRST NAME	POSTCODE	

#### 4. Interested Parties

5.

6.

Are there any other persons or organisations who or which may be directly affected by, or who has a sufficiently close interest in, the outcome of these Proceedings? If so please insert their contact details. Continue on separate page if necessary.

## 7. Decision being appealed

8.

Please provide details of the decision which you are appealing against, including which committee or person within the Respondent made the decision, the date it was made, and the nature of the decision (e.g. selection, misconduct).

Please also attach a copy of the decision to this application.
Grounds of Appeal  Chasify the grounds upon which the appeal is brought. These grounds must either he so set out in the
Specify the grounds upon which the appeal is brought. These grounds must either be as set out in the relevant constitution, rules or regulations of the body appealed from or if there are no such grounds in such documents, then they must be in accordance with the Tribunal's own grounds of appeal as set ou in Rule 42 of the Tribunal's Rules. Your submissions on these grounds of appeal are to be included in your appeal brief (Form 4).

Sus	pension Application
(a)	Are you applying to suspend all or part of the decision appealed from pending the hearing of the are Yes - All
	Yes - Part No
(b)	If the suspension application only applies to part of the decision, specify the applicable part:
(c) ther	If there is urgency in deciding of the suspension application, give the reasons why you consider as urgency in deciding the suspension application:

#### **Filing and Service Instructions**

- 1. This application should be signed and filed with the Registrar of the Sports Tribunal at the address given below.
- 2. The application should be accompanied by the prescribed filing fee of \$500 (inclusive of GST) together with a copy of the applicable constitution, rules or policies which give the Applicant the basis for bringing the allegations.
- 3. The Appellant is to serve a copy of this Application and attachments on the Respondent as soon as practical after the original has been filed with the Tribunal and will forthwith after service forward to the Tribunal Notice of Service on the form attached.

The Registrar Sports Tribunal PO Box 3338 WELLINGTON

Ph: 0800 55 66 80 Email: info@sportstribunal.org.nz Fax: 0800 55 66 81

Web: www.sportstribunal.org.nz

## **Notice of Service**

(to be attached to Form 3)

1,	FIRST NAME	30	JRINAIVIE			
hereby cert	ify that on the	DAY	day of	MONTH	20	YEAR
	on the Respondent na documents.	med in the atta	ached Notice of	Appeal a true co	opy of the Not	ice of Appeal and
I have initia	ereto is a copy of the Nalled each page of the ne documents so serve	Notice of Appe				· ·
Dated the	DAY	day of	MONTH	20	YEAR	
Signed						

# Notice to be Endorsed on Application Served on Resondent

То			(Respondent)
Address	STREET	SUBURB	
	CITY		
		POSTCODE	

This is a copy of the Notice of Appeal filed by the Applicant with the Sports Tribunal.

You need take no further action until a copy of the Appeal Brief (Form 4) is served upon you. You will then have 14 working days from the date the Appeal Brief is served on you to file a Statement of Defence (Form 5).

A copy of the relevant Rules of the Tribunal is enclosed for your information. If you have questions regarding this matter, please contact the Registrar on 0800 55 66 80 or email on info@sportstribunal.org.nz.

1.

2.

3.

# **Appeal Brief**

Parties								
Name of Appellant	TITLE	FIRST NAME	SURNAME					
Name of Respondent	TITLE	FIRST NAME	SURNAME					
Appeal								
	wish to appe	eal the decision of the	Respondent					
Dated DAY / MONTH /	YEAR UP	oon the grounds set out	in my/our Notice of Appeal.					
The facts supporting t	those ground	s of appeal are as follo	WS:					
the decision itself. Yo	Please set out your version of events leading up to the decision of the Respondent and surrounding he decision itself. You must also attach to this application, copies of any statement of evidence from our witnesses and exhibits which you intend to reply upon in this appeal. Continue on separate pages							
<b>Grounds of Appea</b>	ıI							
			otice of Appeal, please make subn nt was wrong or incorrect?	nissions on				

Outcome				
Please specify the outcom	e or relief yo	ou are seeking from the	appeal.	
Signature of Appella		and balant of the Annal	Usak sadilasa d	alan wa daulah an a //a a lana di
authority to do so.	tive may sigi	on behalf of the Appel	llant, and in so de	oing undertakes s/he has th
Signed				
Position			Date	DAY / MONTH / YEAR
		and Service Instru		
This Appeal Brief show address given below was address.	_	I and filed with the Reg king days of the filing o		
	eady been file	anied by copies of all doc ed and served, including e hearing where the decis	(where relevant) t	he briefs of evidence
3. The Appellant is to se ten working days of th notice of service to the	e filing of th	this Appeal Brief and a e Notice of Appeal with		· · · · · · · · · · · · · · · · · · ·
The Registrar Sports Tribunal PO Box 3338 WELLINGTON	Ph: Fax:	0800 55 66 80 0800 55 66 81		esportstribunal.org.nz sportstribunal.org.nz

4.

5.

(to be attached to Form 4)

I, TITL	_E FIR	ST NAME	SURNAME			
hereby	y certify that on the	e DAY	day of	MONTH	20	YEAR
	ed upon the Responding documents.	ndent named in t	the attached Appe	al Brief a true co	opy of the No	tice of Appeal and
I have	ned hereto is a copy initialled each pag s of the documents	ge of the Appeal				· ·
Dated	the DAY	day o	of MONTH	20	YEAR	
Signed	d					

# **Statement of Defence to Appeal**

#### 1. Parties

Name of Appellant	TITLE	FIRST NAME	SURNAME
Name of Respondent	TITLE	FIRST NAME	SURNAME

### 2. Response to Appeal

In response to the Appeal, the Respondent says that:

- (a) it does / does not (strike out as applicable) consider the Tribunal has jurisdiction to hear and determine this appeal, and if it does not, see its reasons specified below;
- (b) its response to each of the grounds of appeal stated in the Notice of Appeal and Appeal Brief are set out below.

#### 3. Jurisdiction of Tribunal

The Respondent does not accept that the Tribunal has jurisdiction to hear and determine this appeal for the following reasons:

(complete this section only if you object to the Tribunal's jurisdiction)

# 4. Response to the Notice of Appeal

In response to the Appellant, the Respondent says that:

Please set out in detail your response to the facts contained in the Notice of Appeal and Appeal Brief.

Please note that if you have objected to the Tribunal's jurisdiction you will still need to complete this section. This will not be taken as acceptance of such jurisdiction. Continue on separate pages if necessary.

5.	Response	to	<b>Grounds</b>	of A	Anneal
J.	I/C3DUII3C	ιu	uiouiius	<b>UI</b> /	annca

Please set out in detail your response to the Appellant's statement of why and how she/he/it considers the decision being appealed was wrong or incorrect as contained in the Notice of Appeal and Appeal Brief.

#### Signature of Respondent 6.

The Respondent's representative may sign on behalf of it, and in so doing undertakes she/he has the authority to do so.

Signed				
Name (print)	TITLE	FIRST NAME	SURNAME	
Position				
Date	DAY / MON	TH / YEAR		

#### **Filing and Service Instructions**

- 1. This Statement of Defence should be signed and filed with the Registrar of the Sports Tribunal at the address given below within 14 working days of the Respondent receiving the Appeal Brief.
- 2. The Statement of Defence should be accompanied by copies of all documents upon which the Respondent relies.
- 3. The Respondent must send copies of this Statement of Defence and documents upon which the Respondent relies to the Appellant at the address shown on the Notice of Appeal at the same time as they are filed with the Tribunal and give notice to the Tribunal on the form attached.

The Registrar Sports Tribunal PO Box 3338 WELLINGTON

Ph: 0800 55 66 80 Fax: 0800 55 66 81

Email: info@sportstribunal.org.nz Web: www.sportstribunal.org.nz

(to be attached to Form 5)

١,	TITLE	FIRST	NAME	SURNAME				
he	reby certi	fy that on the	DAY	day of	MONTH	20	YEAR	
۱s	erved upo	on the Appellar	nt, a true copy of	the Statement o	of Defence and s	supporting do	cuments.	
Аp	Attached hereto is a copy of the Statement of Defence and supporting documents which I served on the Appellant. I have initialled each page of the Statement of Defence and supporting documents as evidence that hese are true copies of the documents so served.							
Da	ted the	DAY	day of	MONTH	20	YEAR		
Sig	gned							

# **Application for Provisional Suspension in Anti-Doping Rule Violation Matter**

1.	Details	of the	<b>Applicant</b>
----	---------	--------	------------------

Name	TITLE	FIRST NAME		SURNAME	
Postal Address	STREET			SUBURB	
	CITY				
				POSTCODE	
Contact Person for t	this applicati	on			
Name	TITLE	FIRST NAME		SURNAME	
Position					
Telephone (Wk)			Mobile		
Facsimile (Wk)					
Email					
Dataila af Haa Ai					

#### 2. **Details of the Athlete**

Please provide the last known contact details of the person against whom you allege may have or has committed an Anti-Doping Rule Violation ("the Athlete").

Name	TITLE	FIRST NAME		SURNAME	
Postal Address	STREET			SUBURB	
	CITY				
				POSTCODE	
Telephone (Wk)			(Hm)		
Facsimile (Wk)			Mobile		
Email					

#### 3. **Details of Representative**

Please insert the details of your legal representative or other person, if any, who will be representing the Applicant in these Proceedings.

Name of Representat	iive	
Firm/Company		
Postal Address	STREET	SUBURB
	CITY	
		POSTCODE
Contact Person for th	is application	

Name	TITLE	FIRST NAME	SURNAME	
Position				
Telephone (Wk)			Mobile	
Facsimile (Wk)				
Email				

(a) Give details of the alleged anti-doping violation.  (b) Give details of the article or rule in the WADA Code, Sports Anti-Doping Rule constitutional or regulatory provision which the Athlete has allegedly infringe  (c) Summarise the reasons which apply to provisional suspension.  Notification of Adverse Analytical Finding attached  Please provide with this Application a copy of the Notification of the Adverse Analyensure all relevant documents received such as laboratory analysis results and dop are included.	
(a) Give details of the alleged anti-doping violation.  (b) Give details of the article or rule in the WADA Code, Sports Anti-Doping Rule constitutional or regulatory provision which the Athlete has allegedly infringe  (c) Summarise the reasons which apply to provisional suspension.  Notification of Adverse Analytical Finding attached  Please provide with this Application a copy of the Notification of the Adverse Analyensure all relevant documents received such as laboratory analysis results and dop are included.	
(a) Give details of the alleged anti-doping violation.  (b) Give details of the article or rule in the WADA Code, Sports Anti-Doping Rule constitutional or regulatory provision which the Athlete has allegedly infringe  (c) Summarise the reasons which apply to provisional suspension.  Notification of Adverse Analytical Finding attached  Please provide with this Application a copy of the Notification of the Adverse Analyensure all relevant documents received such as laboratory analysis results and dop are included.	
(a) Give details of the alleged anti-doping violation.  (b) Give details of the article or rule in the WADA Code, Sports Anti-Doping Rule constitutional or regulatory provision which the Athlete has allegedly infringe  (c) Summarise the reasons which apply to provisional suspension.  Notification of Adverse Analytical Finding attached  Please provide with this Application a copy of the Notification of the Adverse Analyensure all relevant documents received such as laboratory analysis results and dop are included.	
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Is the Notification of the Adverse Analytical Finding attached?	
	Yes No
Known Sporting Activities	
(a) Do you know if the athlete plays or otherwise participates in other sports, apa which the alleged anti-doping violation applies?	rt from the spo

If so	please provide details	of those sp	orts below:		
(b)	If known, please provid	de details o	f the athlete's sporting	commitments over t	he next 6 months below:
	Transmi, prodoc provi		Time atmose a aparting		no next e mentine selem
(c)	Please provide any oth	er informat	ion you think may be r	elevant about the ath	lete's sporting activities:
A	1				
	hority				]
Nan	ne TITLE	FIRST NAME	SURNAME		
-	igning this application of Applicant	certifies tha	t the signatory is auth	orised to sign this ap	pplication on behalf of
LIIC	Аррисан				
Sigr	ned				
Pos	ition			Date	DAY / MONTH / YEAR
1 '	This application should	Ŭ	and Service Instr		ibunal at the
	address given below.	ne signed (	and med with the itegi	istrar or the Sports in	ibulial at the
	The application should I which give the Applican				on, rules or policies
	A copy of this application Sport New Zealand (If D		_		SO or on Drug Free
	The Applicant is to pers as soon as practical afte service forward to the Tr	r the origin	al has been filed with	the Tribunal and wil	
	The Tribunal has the rig	ht to hear s	such an application, no	otwithstanding that s	ervice has not been
	The Registrar Sports Tribunal PO Box 3338 WELLINGTON	Ph: Fax:	0800 55 66 80 0800 55 66 81		ortstribunal.org.nz ortstribunal.org.nz

(to be attached to Form 6)

١,	TITLE	FIRST	NAME	SURNAME			
he	reby cert	ify that on the $\left[  ight.$	DAY	day of	MONTH	20	YEAR
۱s	erved up	on the Athlete,	a true copy of the	e Application for	r Provisional Sus	spension and	supporting documents
se	rved on tl	he Athlete. I ha		page of the Ap	plication for Prov	visional Susp	locuments which I ension and supporting
		II the aforesaid ad is not the Ap	documents was f plicant).	orwarded to Dru	g Free Sport Ne	w Zealand (if	Drug Free Sport
Da	ited the	DAY	day of	MONTH	20	YEAR	
Sig	gned						

# **Notice to Interested Party**

To, TITLE	FIRST NAME	SURNAME		(name)
of STREET		SUBURB	(address)	
CITY				
		POSTCODE		
		_		
TAKE NOTICE that on	DAY / MONTH / YEAR	an applicatio	n was made to th	e Tribunal
by TITLE	FIRST NAME	SURNAME		(applicant)
in which: (give deta	ils of Proceeding)			

The Tribunal considers you may be affected by the outcome of the application/appeal (strike out as applicable) and invites you to participate in the Proceeding.

Enclosed with this Notice are copies of the documents filed by the parties in the Proceedings so far, together with a copy of the applicable rules and/or policies. In addition a copy of any directions made by the Tribunal at a Pre-Hearing Conference on the matter is enclosed.

If you wish to participate in the Proceeding, you must complete, sign and return Form 8 to the Registrar of the Tribunal within seven working days of receiving this Notice to Interested Party (Form 7).

Please note that participating in the Proceeding does not necessarily mean you will be required to attend a hearing. The Tribunal uses technological means as far as possible to enable all parties to participate in a hearing.

- If you do wish to participate in the Proceeding you will be regarded as a party to the Proceeding and have all the rights and obligations of a party under the Rules of the Tribunal, including the right to be represented by a person of your choice and the obligation to meet your own costs.
- If you do not wish to participate in the Proceeding you should contact the Registrar on 0800 55 66 80 or email on info@sportstribunal.org.nz and advise him of this fact.
- If you do nothing, the Tribunal will proceed with the hearing without any further reference to you.
- If you need more time to complete and return the Form, you must apply for an extension of time from the Tribunal (refer to Rule 18(b) of the Rules).
- You should read the Rules of the Tribunal which are enclosed carefully in order that you understand your rights and obligations.

If you have any questions regarding this matter please contact the Registrar on 0800 55 66 80 or email on info@sportstribunal.org.nz

Registrar	_	
Sports Tribunal	Date	DAY / MONTH / YEAR

# **Notice From Interested Party**

I/we	TITLE	FIRST NAME		SURNAME		(name)
of	STREET			SUBURB	(address)	
	CITY					
				POSTCODE		
	_	I/we have received to companying docum			-	fers to the: (complete details of
	TITLE	FIRST NAME		SURNAME		(name of applicant)
	I/we do wish I/we do not w	to participate in the rish to participate in the recourse to me/us	Proceed the Prod	ling.		that the Tribunal may make a decision
Signe	ed					Date DAY / MONTH / YEAR
			Instr	uctions for Fi	ling Notice	
	you rec	rm must be complet eiving the Notice to t details are:				ar within seven working days of
	PO Box	egistrar Tribunal x 3338 NGTON	Ph: Fax:	0800 55 66 8 0800 55 66 8		ail: info@sportstribunal.org.nz b: www.sportstribunal.org.nz

1.

2.

Postal Address

# **Application for Resolution of Sports Related Dispute**

	(1 <sup>st</sup> Party)	
SURNAME	(2 <sup>nd</sup> Party)	
SURNAME	(any other partie	
n)		
SURNAME		
Mobile		
1		
tion)		
tion) SURNAME		
SURNAME		
SURNAME Mobile	will be represent	
SURNAME  Mobile	will be represent	
SURNAME  Mobile	will be represent	
SURNAME  Mobile	will be represent	
SURNAME  Mobile	will be represent	
Mobile Mobile Mobile	will be represent	
	n) SURNAME	

SUBURB

Where the j	jurisdiction is based on an agreement between the parties, a copy of that agreement is a	tt
Urgency		
	grounds for suggesting these Proceedings should be heard on an urgent basis?  Yes	
If yes, plea	ise describe below the reasons why you consider there is urgency in hearing the matte	er
	f Dispute  If y set out the nature of the dispute ie. What it is about. Please attach a copy of any rules or policies of your sport which you consider apply to this dispute.	
Please brie	fly set out the nature of the dispute ie. What it is about. Please attach a copy of any	
Please brie	fly set out the nature of the dispute ie. What it is about. Please attach a copy of any	
Please brie	fly set out the nature of the dispute ie. What it is about. Please attach a copy of any	
Please brie	fly set out the nature of the dispute ie. What it is about. Please attach a copy of any	
Please brie	fly set out the nature of the dispute ie. What it is about. Please attach a copy of any	
Please brie applicable	Ifly set out the nature of the dispute ie. What it is about. Please attach a copy of any rules or policies of your sport which you consider apply to this dispute.	
Please brie applicable  Summary	Ifly set out the nature of the dispute ie. What it is about. Please attach a copy of any rules or policies of your sport which you consider apply to this dispute.  y of Parties' Position	
Please brie applicable  Summary  Briefly sum	Ifly set out the nature of the dispute ie. What it is about. Please attach a copy of any rules or policies of your sport which you consider apply to this dispute.	
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Please brie applicable  Summary  Briefly sum	Ifly set out the nature of the dispute ie. What it is about. Please attach a copy of any rules or policies of your sport which you consider apply to this dispute.   y of Parties' Position  marise each Party's position.	
Summary Briefly sum First Party	Ifly set out the nature of the dispute ie. What it is about. Please attach a copy of any rules or policies of your sport which you consider apply to this dispute.   y of Parties' Position  marise each Party's position.	
Summary Briefly sum First Party	Ifly set out the nature of the dispute ie. What it is about. Please attach a copy of any rules or policies of your sport which you consider apply to this dispute.   y of Parties' Position  marise each Party's position.	

# Each Party to provide copies of relevant documents in support of Party's Position. Briefly summarise relevance of documents. First Party Second Party **Outcome Sought** Please specify the outcome the parties seek from the Tribunal. First Party Second Party

Information to be Provided by Parties

7.

8.

# 9. Signature of Applicants

In signing this application on behalf of a Party, you undertake that you are duly authorised to commence this Proceeding. The Party's Representative may sign on behalf of the party and in so doing undertake s/he has the authority to do so.

First Party					
Signed					Date DAY / MONTH / YEAR
Name (print)	TITLE	FIR	ST NAME	SURNA	AME
Position					
Second Party					
Signed					Date DAY / MONTH / YEAR
Name (print)	TITLE	FIR	RST NAME	SURNA	AME
Position					
Additional Par Signed	ties				Date DAY/MONTH/YEAR
Name (print)	TITLE	FIF	ST NAME	SURNA	AME
Position					
		Filing	and Service	Instructi	inns
	ation should be s the address give	signed by	y both parties an		the Registrar of the Sports
	ation should be a agreement betw			_	g fee (if any) together with a copy o the Tribunal.
accompany	y this Application withholding the	. If a Pai	rty wishes to with	nhold this su	pport of each Party's position should ummary, the Party should indicate that e discussed at the first pre-hearing
The Regist Sports Trib PO Box 33	ounal 338	Ph: Fax:	0800 55 66 8 0800 55 66 8		Email: info@sportstribunal.org.nz Web: www.sportstribunal.org.nz

2.

3.

Email

# Notice of Appeal from Decision Denying Therapeutic Use Exemption (TUE)

1.	<b>Details of the</b>	<b>Applicant</b>	(person	appealing	the decision	denying TUE)

Name	TITLE	FIRST NAME	SURNAME	
Postal Address	STREET		SUBURB	
	CITY			
			POSTCODE	
Contact Person for	r this application	1		
Name	TITLE	FIRST NAME	SURNAME	
Position				
Telephone (Wk)		Mo	obile	
Facsimile (Wk)				
Email				
Name Postal Address	STREET	FIRST NAME	SURNAME	
	CITY			]
			POSTCODE	
Telephone (Wk)		(H	m)	
Facsimile (Wk)		Mo	obile	
Email				
<b>Details of Repr</b> Please insert the of Applicant in these	details of your le	gal representative or o	ther person, if any, wh	ho will be repres
Name of Represer	ntative TITLE	FIRST NAME	SURNAME	
Firm/Company				1
Postal Address	STREET		SUBURB	
	CITY			]
Contact Darger for	r this application	2	POSTCODE	
Contact Person for			01/5	
Name	TITLE	FIRST NAME	SURNAME	
Position				
Telephone (Wk)		Mo	obile	
Facsimile (Wk)				

### 4. Interested Parties

Are there any other persons or organisations who or which may be directly affected by, or who has a sufficiently close interest in, the outcome of these Proceedings? If so please insert their contact details. Continue on a separate page if necessary.

Name	TITLE	FIRST NAME	SURNAME	
Postal Address	STREET		SUBURB	
	CITY			
			POSTCODE	
Contact Person	(if an organisat	tion)		
Name	TITLE	FIRST NAME	SURNAME	
Position				
Telephone (Wk)		1 1 1 1	Mobile	
Facsimile (Wk)				
Email				
Reason person(s	s) may be affec	ted or have a su	fficiently close interest in outcom	e:
Jurisdiction				
(i.e. give details eg: Rule 15.4.1	of the relevant of the Sports	t rules or regulat	right to appeal the decision deny ions which give a right of appeal to see 2009. If there is some other ju	to the Sports Tribunal.
right of appeal s	specify it).			
Urgoney				
<b>Urgency</b> Are there ground	ds for suggestir	ng this appeal sh	ould be heard on an urgent basis	?
				Yes No
If yes, please de	escribe below the	he reasons why y	ou consider there is urgency in h	earing the appeal.

5.

6.

pers	son within the Respondent made the decision, the date it was made, and the nature of the c	iecis
Plea	ase also attach a copy of the decision being appealed to this application.	
	ounds of Appeal	
-	ecify the grounds or reasons upon which you are appealing the decision denying the TU	
-	ecify why or how you consider the decision of the Respondent was wrong or incorrect (co	
	a separate page if necessary). Please also attach to this Notice of Appeal any statement	
evic	dence from your witnesses (if any) and exhibits and documents which you intend to rep	ly u
in t	this appeal.	
_		
Out	tcome Sought	
	tcome Sought ase specify the outcome you are seeking from the appeal.	
Plea	ase specify the outcome you are seeking from the appeal.	
Plea		YEAR
Plea	ase specify the outcome you are seeking from the appeal.	YEAR
Plea	ase specify the outcome you are seeking from the appeal.  ned  Date  Date	YEAR
Plea	ase specify the outcome you are seeking from the appeal.	YEAR
Plea	ned Date DAY/MONTH/	YEAR
Plea Sign	ned Date DAY/MONTH/  Filing and Service Instructions  This application should be signed and filed with the Registrar of the Sports Tribunal at the	YEAR
Plea Sign	ned Date DAY/MONTH/	YEAR
Plea Sign	ned Date DAY/MONTH/  Filing and Service Instructions  This application should be signed and filed with the Registrar of the Sports Tribunal at the	
Plea Sign 1.	ase specify the outcome you are seeking from the appeal.  Date  Date  Day/Month/  Filing and Service Instructions  This application should be signed and filed with the Registrar of the Sports Tribunal at the address given below.  The application should be accompanied by a copy of the applicable rules or regulations which	
Sign 1.	ned  Date  Date  Day/MONTH/  Filing and Service Instructions  This application should be signed and filed with the Registrar of the Sports Tribunal at the address given below.  The application should be accompanied by a copy of the applicable rules or regulations which give the Appellant jurisdiction to bring the appeal to the Sports Tribunal as well as a copy of	l
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Sign 1. 2.	red  Pate  Date  Date  Date  Day/Month/  Filing and Service Instructions  This application should be signed and filed with the Registrar of the Sports Tribunal at the address given below.  The application should be accompanied by a copy of the applicable rules or regulations which give the Appellant jurisdiction to bring the appeal to the Sports Tribunal as well as a copy of the decision of the respondent denying the TUE which is being appealed against. Copies of al supporting documents upon which the Appellant relies (including witness statements) should also be attached to this application.  The Appellant is to serve a copy of this Application and attachments on the Respondent as soon as practical after the original has been filed with the Tribunal. After serving the document	ı I
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Plea Sign 1. 2.	red  Pate  Date  Date  Date  Day/Month/  Filing and Service Instructions  This application should be signed and filed with the Registrar of the Sports Tribunal at the address given below.  The application should be accompanied by a copy of the applicable rules or regulations which give the Appellant jurisdiction to bring the appeal to the Sports Tribunal as well as a copy of the decision of the respondent denying the TUE which is being appealed against. Copies of al supporting documents upon which the Appellant relies (including witness statements) should also be attached to this application.  The Appellant is to serve a copy of this Application and attachments on the Respondent as soon as practical after the original has been filed with the Tribunal. After serving the document	ı I
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Sign 1.	riling and Service Instructions  This application should be signed and filed with the Registrar of the Sports Tribunal at the address given below.  The application should be accompanied by a copy of the applicable rules or regulations which give the Appellant jurisdiction to bring the appeal to the Sports Tribunal as well as a copy of the decision of the respondent denying the TUE which is being appealed against. Copies of al supporting documents upon which the Appellant relies (including witness statements) should also be attached to this application.  The Appellant is to serve a copy of this Application and attachments on the Respondent as soon as practical after the original has been filed with the Tribunal. After serving the documer on the Respondent, the Appellant is to complete and forward the Notice of Service (attached this Form) to the Tribunal.	ı I Ints to

WELLINGTON

(to be attached to Form 10)

١,	TITLE FIRST	NAME S	SURNAME			
hei	eby certify that on the	DAY	day of	MONTH	20	YEAR

I served upon the Respondent named in the attached Notice of Appeal from Decision Denying Therapeutic Use Exemption (TUE) a true copy of the Notice of Appeal from Decision Denying Therapeutic Use Exemption (TUE) and supporting documents.

Attached hereto is a copy of the Notice of Appeal from Decision Denying Therapeutic Use Exemption (TUE) and supporting documents which I served on the Respondent. I have initialled each page of the Notice of Appeal from Decision Denying Therapeutic Use Exemption (TUE) and supporting documents as evidence that these are true copies of the documents so served.

Dated the	DAY	day of	MONTH	20	YEAR
Signed					

# Notice to be Endorsed on Notice of Appeal From Decision Denying Therapeutic Use Exemption (TUE) Served on Respondent

То			(Respondent)
Address	STREET	SUBURB	
	CITY		
		POSTCODE	

This is a copy of the Notice of Appeal from Decision Denying Therapeutic Use Exemption (TUE) filed by the Appellant with the Sports Tribunal.

You have seven working days from the date the Notice of Appeal From Decision Denying Therapeutic Use Exemption (TUE) is served on you to file a Statement of Defence To Appeal From Decision Denying Therapeutic Use Exemption (TUE) (Form 11) with the Sports Tribunal.

If you have questions regarding this matter, please contact the Registrar of the Sports Tribunal on 0800 55 66 80 or email on info@sportstribunal.org.nz.

# Statement of Defence to Appeal from Decision Denying Therapeutic Use Exemption (TUE)

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Name of Appellant	TITLE	FIRST NAME	SURNAME	
Name of Respondent	TITLE	FIRST NAME	SURNAME	

#### 2. Response to Appeal

In response to the Appeal, the Respondent says that:

- (a) it does / does not (strike out as applicable) consider the Tribunal has jurisdiction to hear and determine this appeal, and if it does not, see its reasons specified below;
- (b) its response to the grounds of appeal stated in the Notice of Appeal from Decision Denying Therapeutic Use Exemption (TUE) are set out below.

#### 3. Jurisdiction of Tribunal

The Respondent does not accept that the Tribunal has jurisdiction to hear and determine this appeal for the following reasons:

(Complete this section only if you object to the Tribunal's jurisdiction)					

# 4. Response to the Grounds of Appeal set out in the Notice of Appeal from Decision Denying Therapeutic Use Exemption (TUE)

In response to the Appellant, the Respondent says that:

Please set out in detail your response to the Appellant's statement of why and how the Appellant considers the decision being appealed was wrong or incorrect as contained in the Notice of Appeal from Decision Denying Therapeutic Use Exemption (TUE). Continue on a separate page if necessary.

Please note that if you have objected to the Tribunal's jurisdiction you will still need to complete this section. This will not be taken as acceptance of such jurisdiction.

### 5. Signature of Respondent

The Respondent's representative may sign on behalf of it, and in so doing undertakes s/he has the authority to do so.

Name of Appellant	TITLE	FIRST NAME	SURNAME
Name of Respondent	TITLE	FIRST NAME	SURNAME
Date	DAY / MONTH / YEAR		

### **Filing and Service Instructions**

- 1. This Statement of Defence to Appeal from Decision Denying Therapeutic Use Exemption (TUE) should be signed and filed with the Registrar of the Sports Tribunal at the address given below within seven workings days of the Respondent receiving the Notice of Appeal from Decision Denying Therapeutic Use Exemption (TUE).
- 2. The Statement of Defence to Appeal from Decision Denying Therapeutic Use Exemption (TUE) should be accompanied by copies of all documents upon which the Respondent relies.
- 3. The Respondent is to serve a copy of this Statement of Defence to Appeal from Decision Denying Therapeutic Use Exemption (TUE) and documents upon which the Respondent relies to the Appellant at the address shown on the Notice of Appeal from Decision Denying Therapeutic Use Exemption (TUE) at the same time as they are filed with the Tribunal. After serving the documents on the Appellant, the Respondent is to complete and forward the Notice of Service (attached to this Form) to the Tribunal.

The Registrar Sports Tribunal PO Box 3338 WELLINGTON Ph: 0800 55 66 80 Fax: 0800 55 66 81 Email: info@sportstribunal.org.nz Web: www.sportstribunal.org.nz

(to be attached to Form 11)

Signed

١,	TITLE	FIRST	T NAME	SURNAME			
he	reby cert	ify that on the	DAY	day of	MONTH	20	YEAR
Th At Ex the	erapeution tached he emption e Statem	c Use Exemption  ereto is a copy  (TUE) and sup  ent of Defence	. 0	porting docume of Defence to A ts which I served decision Denying	nts. ppeal from Deci d on the Appella g Therapeutic Us	sion Denying nt. I have initi e Exemption (	, ,
Da	ted the	DAY	day of	MONTH	20	YEAR	

